

# Positional Marked Patterns in Permutations

## Permutation Patterns 2017

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# Motivation

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Given a permutation  $\sigma = \sigma_1 \dots \sigma_n \in \mathcal{S}_n$ , we will consider the graph of  $\sigma$ ,  $G(\sigma)$  to be the set of points  $(i, \sigma_i)$  for  $i = 1, \dots, n$ .

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If we draw a coordinate system centered at a point  $(i, \sigma_i)$ , we are interested in the points that lie in the four quadrants I, II, III, and IV of that coordinate system.

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Given  $a, b, c, d \in \mathbb{Z}_{\geq 0}$ , we say that  $\sigma_i$  matches the quadrant marked mesh pattern  $MMP(a, b, c, d)$  in  $\sigma$  if, in  $G(\sigma)$  relative to the coordinate system which has the point  $(i, \sigma_i)$  as its origin, there are

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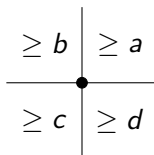
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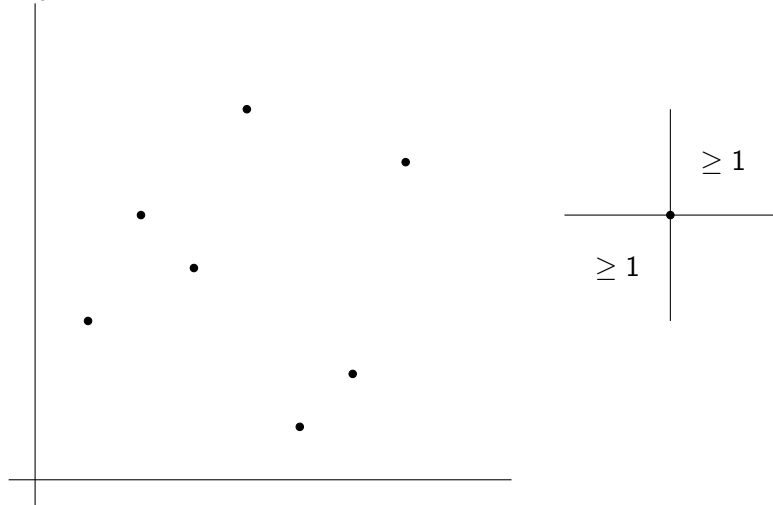


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Consider  $a = c = 1$  and  $b = d = 0$ , and  $\sigma = 3547126$ , then  $G(\sigma)$  looks like

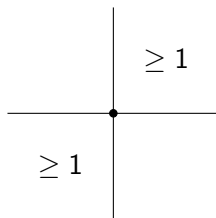
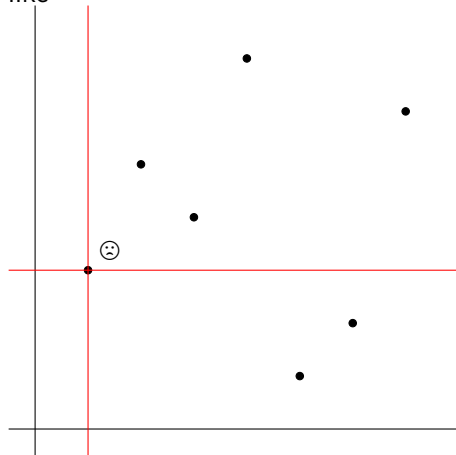
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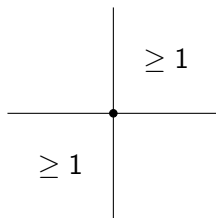
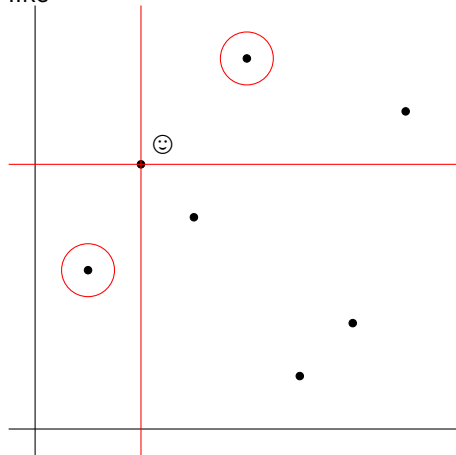
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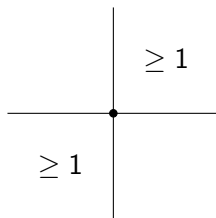
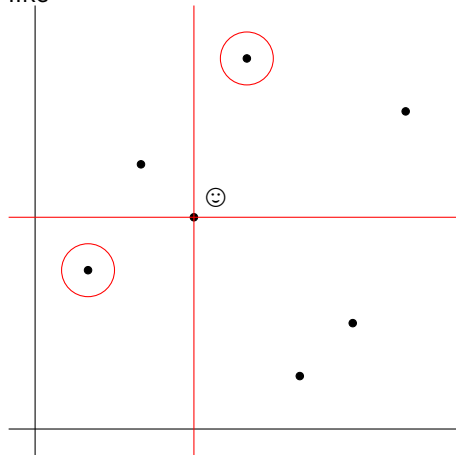
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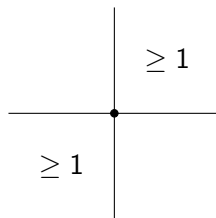
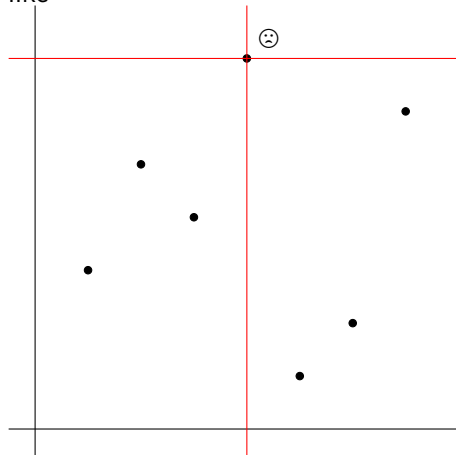
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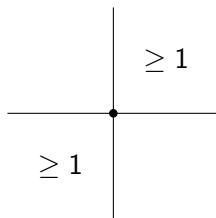
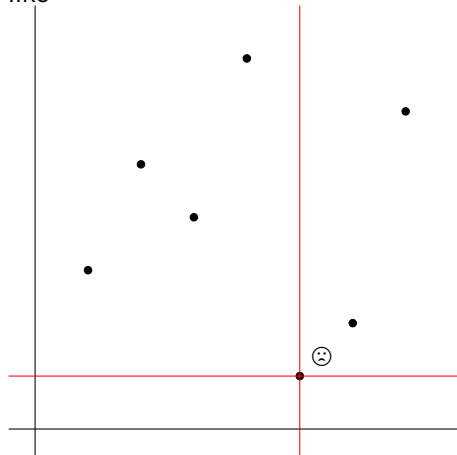
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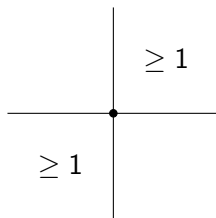
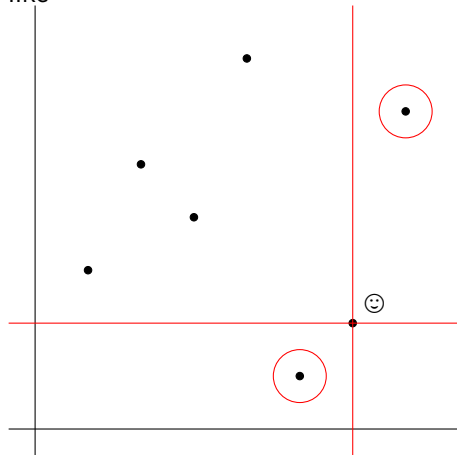
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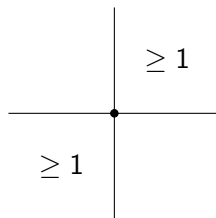
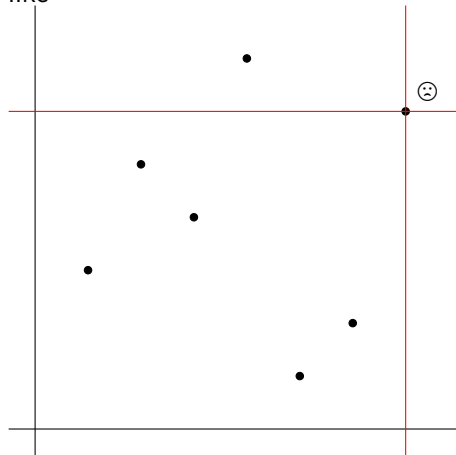
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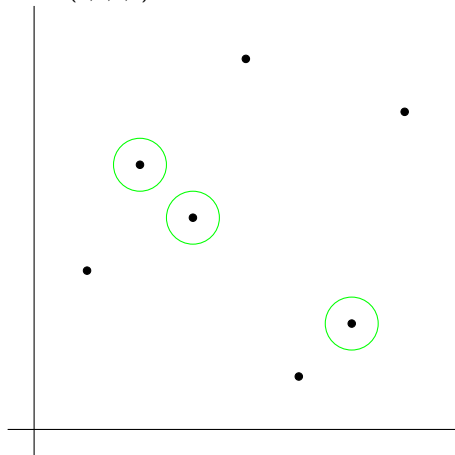
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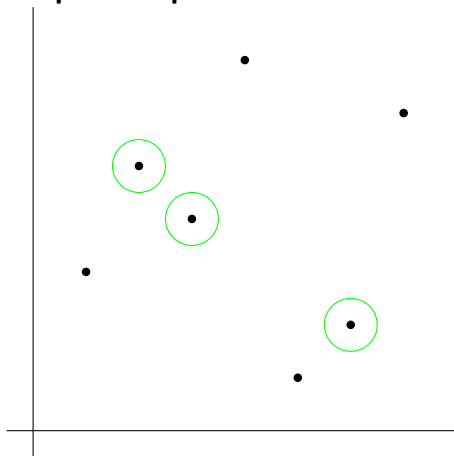
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We let  $mmp_{(a,b,c,d)}(\sigma)$  be the number of  $i$  such that  $\sigma_i$  matches the quadrant marked mesh pattern  $MMP(a, b, c, d)$ . Then, we have  $mmp_{(1,0,1,0)}(3547126) = 3$



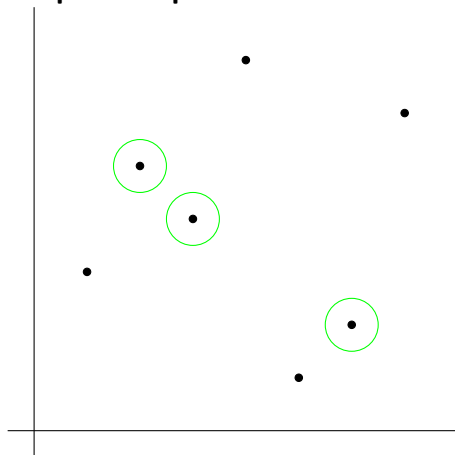
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Why don't we do the same thing for other patterns and other positions?

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In the language of classical patterns,  $\sigma_i$  **matches**  $(\tau, s)$  if there is an **occurrence of  $\tau$  in  $\sigma$  such that  $\sigma_i$  plays the role of  $\tau_s$  in that occurrence.**

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We let  $\text{pmp}_{(\tau,s)}(\sigma)$  denote the number of  $i$  such that  $\sigma_i$  matches  $(\tau, s)$  in  $\sigma$

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We see that 6,3 and 5 can play the role of 3 in 321, so  $pmp_{(321,1)}(\sigma) = 3$ .

Back to marked mesh pattern,  $MMP(1, 0, 1, 0)$  is the same as  $(123, 2)$ .



# Goal

Given a positive integer  $n$  and a positional marked pattern  $(\tau, s)$ , we are interested in enumerating polynomials of the form

$$P_{n,(\tau,s)}(x) = \sum_{\sigma \in S_n} x^{pmp_{n,(\tau,s)}(\sigma)}$$

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Given two positional marked patterns  $(\alpha, s), (\beta, t)$ , we say that  $(\alpha, s)$  is positional Wilf-equivalent to  $(\beta, t)$  if

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Goal: Classify equivalent classes for positional marked patterns

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One can think of positional marked pattern as a refinement of classical pattern.

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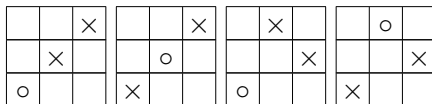
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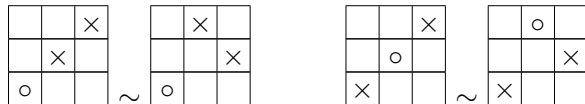
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## Theorem (Remmel, T)

$\underline{1}23$  and  $\underline{1}32$  are positional Wilf-equivalent.  $\underline{1}23$  and  $\underline{1}32$  are positional Wilf-equivalent. However,  $\underline{1}23$  is not positional Wilf-equivalent to  $\underline{1}2\bar{3}$ .



## Sketch of a proof that $\underline{1}23$ and $\underline{1}32$

Let  $\tau_1 = \underline{1}23$  and let  $P_{n,\tau_1,k}(x) = \sum x^{pmp_{\tau_1}(\sigma)}$  where the sum is over all  $\sigma \in S_n$  with the last ascent of  $\sigma$  is at position  $k$ . So  $\sigma$  has a form



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$$= (k-1)xP_{n-1,\tau_1,k-1}(x) + 1 + \sum_{l=1}^k P_{n-1,\tau_1,l}(x)$$

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$$P_{n,\tau_2,k}(x) = \begin{array}{ll} (k-1)xP_{n-1,\tau_2,k-1}(x) & \text{if 1 is at position before } k \\ +1 + \sum_{l=1}^k P_{n-1,\tau_2,l}(x) & \text{if 1 is at position } k+1 \end{array}$$

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$P_{n,\tau_1,k}(x)$  and  $P_{n,\tau_2,k}(x)$  have the same recursive formula. One can check that they have the same initial conditions. Hence,  $\tau_1$  and  $\tau_2$  are positional Wilf-equivalent.  $\square$

# Patterns of length 3

Enumeration of  $P_{n,\underline{1}23}(x) = P_{n,\underline{1}32}(x)$

$$P_{1,\underline{1}23}(x) = 1$$

$$P_{2,\underline{1}23}(x) = 2$$

$$P_{3,\underline{1}23}(x) = 5 + x$$

$$P_{4,\underline{1}23}(x) = 14 + 8x + 2x^2$$

$$P_{5,\underline{1}23}(x) = 42 + 47x + 25x^2 + 6x^3$$

$$P_{6,\underline{1}23}(x) = 132 + 244x + 216x^2 + 104x^3 + 24x^4$$

$$P_{7,\underline{1}23}(x) = 429 + 1186x + 1568x^2 + 1199x^3 + 538x^4 + 120x^5$$

# Patterns of length 3

Enumeration of  $P_{n, \underline{123}}(x) = P_{n, \underline{132}}(x)$

$$P_{1, \underline{123}}(x) = 1$$

$$P_{2, \underline{123}}(x) = 2$$

$$P_{3, \underline{123}}(x) = 5 + x$$

$$P_{4, \underline{123}}(x) = 14 + 8x + 2x^2$$

$$P_{5, \underline{123}}(x) = 42 + 47x + 25x^2 + 6x^3$$

$$P_{6, \underline{123}}(x) = 132 + 244x + 216x^2 + 104x^3 + 24x^4$$

$$P_{7, \underline{123}}(x) = 429 + 1186x + 1568x^2 + 1199x^3 + 538x^4 + 120x^5$$

Catalan numbers

# Patterns of length 3

Enumeration of  $P_{n,\underline{123}}(x) = P_{n,\underline{132}}(x)$

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$$P_{6,\underline{123}}(x) = 132 + 244x + 216x^2 + 104x^3 + 24x^4$$

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# Patterns of length 3

Enumeration of  $P_{n,\underline{123}}(x) = P_{n,\underline{132}}(x)$

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$$P_{7,\underline{123}}(x) = 429 + 1186x + 1568x^2 + 1199x^3 + 538x^4 + 120x^5$$

$(n-2)!$

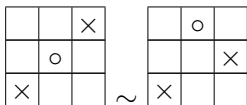
## Theorem (Remmel, T)

$$P_{n, \underline{132}}(x)|_x = \sum_{\sigma \in S_n(132)} \text{inv}(\sigma)$$

## Theorem (Remmel, T)

Given any positive integers  $n, k$  such that  $n \geq k$ , and any  $\tau \in S_k$  and  $1 \leq s \leq k$ , the degree of  $P_{n, (\tau, s)}(x)$  is  $n - k + 1$ , and  $P_{n, (\tau, s)}(x)|_{x^{n-k+1}} = (n - k + 1)!$ .

# Patterns of length 3



To prove that  $\begin{matrix} & & \times \\ & \circ & \\ \times & & \end{matrix} \sim \begin{matrix} & \circ & \\ & & \times \\ \times & & \end{matrix}$ , we keep track of the position of 1 and derive a recursive formula based on the position of 2.



# Patterns of length 3

Enumeration of  $P_{n,1\underline{2}3}(x) = P_{n,13\underline{2}}(x)$

$$P_{1,1\underline{2}3}(x) = 1$$

$$P_{2,1\underline{2}3}(x) = 2$$

$$P_{3,1\underline{2}3}(x) = 5 + x$$

$$P_{4,1\underline{2}3}(x) = 14 + 8x + 2x^2$$

$$P_{5,1\underline{2}3}(x) = 42 + 46x + 26x^2 + 6x^3$$

$$P_{6,1\underline{2}3}(x) = 132 + 232x + 220x^2 + 112x^3 + 24x^4$$

$$P_{7,1\underline{2}3}(x) = 429 + 1093x + 1527x^2 + 1275x^3 + 596x^4 + 120x^5$$

# Patterns of length 4

# Patterns of length 4

By symmetry, there are at most 16 positional Wilf-equivalent classes:



1234



1234



1243



1243



1423



1432



1432



1432



2143



1342



1342



1342



1342



2413



1324



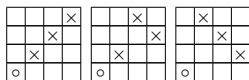
1324

# Patterns of length 4

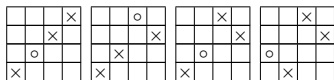
Numerical data suggests that there are 10 classes.

# Patterns of length 4

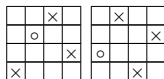
Numerical data suggests that there are 10 classes.



① 1234    1243    1432



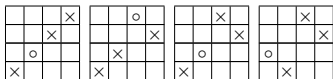
② 1234    1423    1243    2143



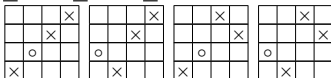
③ 1342    2413

④ Everything else forms its own class

# Patterns of length 4



Consider the class  $\underline{1}234$   $1\underline{4}23$   $\underline{1}2\underline{4}3$   $\underline{2}143$



Equivalently, we can look at  $\underline{1}234$   $\underline{2}134$   $\underline{1}2\underline{4}3$   $\underline{2}143$

## Theorem (Remmel, T)

Four patterns  $\underline{1}234$ ,  $\underline{2}134$ ,  $\underline{1}2\underline{4}3$ ,  $\underline{2}143$  are positional Wilf-equivalent.

# Patterns of length 4

Idea of the proof:

$\underline{1}234$  and  $\underline{1}243$ , refine the polynomials by last ascent/descent and position of 1. Derive recursive formula by consider the position of 2.

$\underline{1}234$  and  $\underline{2}134$  as well as  $\underline{1}243$  and  $\underline{2}143$  follow from a general theorem.

# Patterns of arbitrary length



## Theorem (Remmel, T)

Let  $p_1, p_2, \dots, p_k$  be a rearrangement of  $3, 4, \dots, k + 2$ , then  $\underline{12}p_1p_2 \dots p_k$  and  $\underline{21}p_1p_2 \dots p_k$  are positional Wilf-equivalent.

# Patterns of arbitrary length

## Theorem (Remmel, T)

Let  $p_1, p_2, \dots, p_k$  be a rearrangement of  $3, 4, \dots, k + 2$ , then  $\underline{1}2p_1p_2 \dots p_k$  and  $\underline{2}1p_1p_2 \dots p_k$  are positional Wilf-equivalent.

With this theorem, we deduce that  $\underline{1}234$  and  $\underline{2}134$  are positional Wilf-equivalent, as well as  $\underline{1}243$  and  $\underline{2}143$  are equivalent.

# Patterns of arbitrary length

Sketch of the proof: As an example, we will use  $1\underline{2}34$  and  $\underline{2}134$ .

# Patterns of arbitrary length

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Step 1: Associates each permutations with their “non-dominant part.”

# Patterns of arbitrary length

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Step 1: Associates each permutations with their “non-dominant part.”

Given any  $\sigma$ , find all  $\times$  in the diagram of  $\sigma$  such that there is no copy of pattern 12 to the top right of it.

									×
	×								
×									
				×					
			×						
		×							
								×	
							×		
					×				

# Patterns of arbitrary length

Sketch of the proof: As an example, we will use  $1\underline{2}34$  and  $\underline{2}134$ .

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									×
	×								
×									
				×					
			×						
									×
							×		
					×				

# Patterns of arbitrary length

Fix a non-dominant part, consider all  $\sigma$  that have non-dominant part as given.

**Key 1:** All such  $\sigma$  can be obtained by starting with a non-dominant part and filling the rest of the diagram:

								×
	×							
				×				
								×

# Patterns of arbitrary length

Fix a non-dominant part, consider all  $\sigma$  that have non-dominant part as given.

**Key 1:** All such  $\sigma$  can be obtained by starting with a non-dominant part and filling the rest of the diagram:

Put  $\bullet$  in “non-dominant” cell.

$\bullet$	$\bullet$	$\bullet$	$\bullet$	$\bullet$	$\bullet$	$\bullet$	$\bullet$	$\times$
$\bullet$	$\times$	$\bullet$	$\bullet$	$\bullet$	$\bullet$	$\bullet$	$\bullet$	$\bullet$
	$\bullet$	$\bullet$	$\bullet$	$\bullet$	$\bullet$	$\bullet$	$\bullet$	$\bullet$
	$\bullet$	$\bullet$	$\bullet$	$\times$	$\bullet$	$\bullet$	$\bullet$	$\bullet$
				$\bullet$	$\bullet$	$\bullet$	$\bullet$	$\bullet$
				$\bullet$	$\bullet$	$\bullet$	$\bullet$	$\bullet$
				$\bullet$	$\bullet$	$\bullet$	$\times$	$\bullet$
							$\bullet$	$\bullet$
							$\bullet$	$\bullet$



# Patterns of arbitrary length

Fix a non-dominant part, consider all  $\sigma$  that have non-dominant part as given.

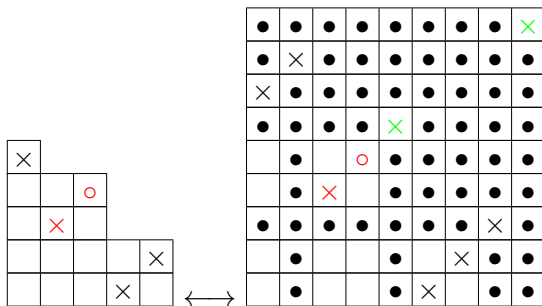
**Key 1:** All such  $\sigma$  can be obtained by starting with a non-dominant part and filling the rest of the diagram:

Put  $\bullet$  in rows and columns that already contain  $\times$ .

•	•	•	•	•	•	•	•	•	×
•	×	•	•	•	•	•	•	•	•
	•	•	•	•	•	•	•	•	•
•	•	•	•	×	•	•	•	•	•
	•			•	•	•	•	•	•
	•			•	•	•	•	•	•
•	•	•	•	•	•	•	×	•	•
	•			•			•	•	
	•			•			•	•	

# Patterns of arbitrary length

**Key 2:**  $12_T$ -matching in  $\sigma$  corresponds to  $12$ -matching in the smaller part.



# Patterns of arbitrary length

**Key 3:**  $1\underline{2}$  and  $\underline{2}1$  are equivalent for smaller boards.

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**Conclusion:** We can refine the set of permutations by their non-dominant part, and prove the generating functions for two patterns are the same considering each refinement. So, the whole generating functions are the same.

# Future research

# Future research





- 1 Equivalence of  $\underline{1}234$ ,  $\underline{1}432$  and  $\underline{1}243$

- 1 Equivalence of  $\underline{1}234$ ,  $\underline{1}432$  and  $\underline{1}243$
- 2 Equivalence of  $123\underline{\tau}$  and  $321\underline{\tau}$



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- 3 Positional marked pattern on words

- 1 Equivalence of  $\underline{1}234$ ,  $\underline{1}432$  and  $\underline{1}243$
- 2 Equivalence of  $123\underline{\tau}$  and  $\underline{3}21\underline{\tau}$
- 3 Positional marked pattern on words
- 4 Multiple positional marked patterns:  $\sigma_i$  matches  $\{\tau_1, \tau_2\}$  if  $\sigma_i$  matches  $\tau_1$  or  $\tau_2$ .

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Thank you for you attention!

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