

# Prolific permutations and permuted packings

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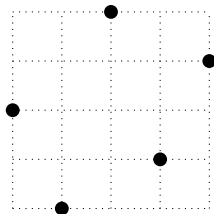
# Notation

We will describe permutations of size  $n$  both as:

words  $\pi(1) \cdots \pi(n) \in S_n$  (to capture the idea of “pattern”), and

**plots** of points  $\{(i, \pi(i)) : 1 \leq i \leq n\} \subset \mathbb{R}^2$  (for our proof)

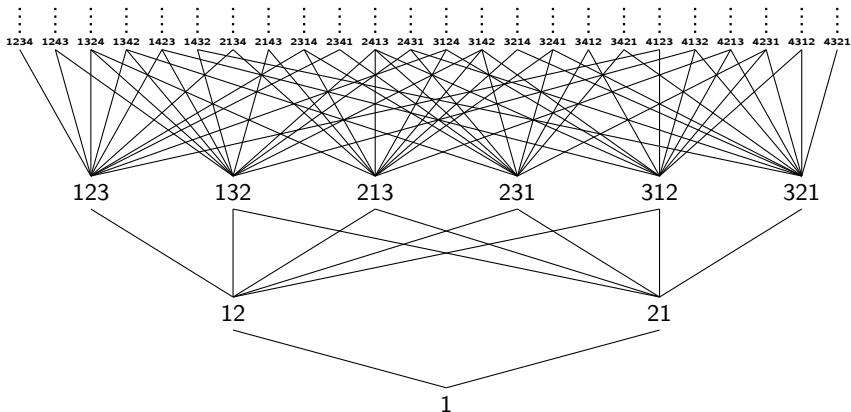
**Example.**  $31524 \in S_5$



# Pattern poset

Write  $\sigma \preceq \pi$  if  $\pi$  contains a  $\sigma$ -pattern.

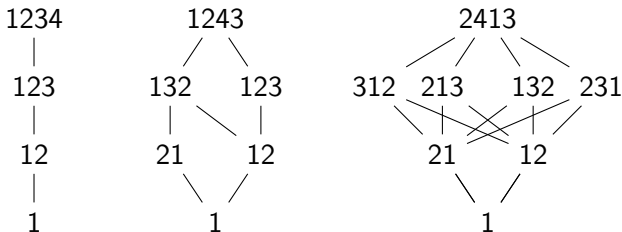
The **pattern poset**  $\mathcal{P}$  is  $\bigcup_{k \geq 1} S_k$ , ordered by  $\preceq$ .



# Principal order ideals

The poset is complicated, as we already know and appreciate.

**Principal order ideals** have many forms, even from the same rank.



In particular, note the **different widths** in these pictures.

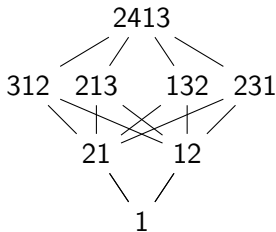
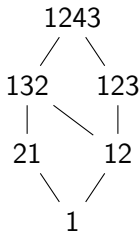
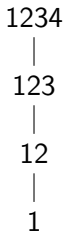
## The definition

$\pi \in S_n$  is  **$k$ -prolific** if  $\left| \{ \sigma \in S_{n-k} : \sigma \preceq \pi \} \right| = \binom{n}{k}$ .

I.e., if each  $(n - k)$ -subset of letters in  $\pi$  forms a distinct pattern.

I.e., if  $\pi$  has maximally many descendants  $k$  generations down in  $\mathcal{P}$ .

# Examples



**Example.** 1234 is not 1- or 2-prolific.

**Example.** 1243 is not 1- or 2-prolific.

**Example.** 2413 is 1-prolific, but not 2-prolific.

# The questions

Do  $k$ -prolific permutations **exist** for all  $k$ ?

If  $w \in S_n$  is  $k$ -prolific, **how big** must  $n$  be, as a function of  $k$ ?

How **common** are prolific permutations?

## Some answers

**Thm.**  $k$ -prolific permutations **exist for every**  $k$ .

**Thm.** For any  $n \geq k^2/2 + 2k + 1$ , there exists a  $k$ -prolific permutation in  $S_n$ .

In fact, this is strict: there is no  $k$ -prolific permutation in  $S_n$  when  $n < k^2/2 + 2k + 1$ .



The **breadth** of  $\pi$  is

$$\text{br}(\pi) = \min_{i,j} \{ |i - j| + |\pi(i) - \pi(j)| \}$$

This is the minimum **taxicab distance** in the plot of  $\pi$ .  
Certainly  $\text{br}(\pi) \geq 2$ .

**Example.**  $\text{br}(31524) = 3$  and  $\text{br}(274915836) = 4$ .

## Key to our proof

**Lem.**  $\pi$  is  $k$ -prolific iff  $\text{br}(\pi) \geq k + 2$ .

**Example.** Consider  $\pi = 31524$ , which has breadth 3.

$\pi$  is 1-prolific, with  $\binom{5}{1}$  children: 1423, 2413, 3124, 2143, 3142.

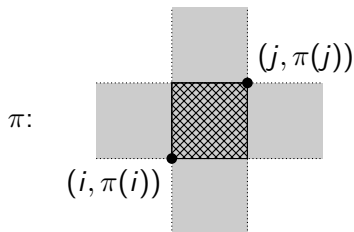
$\pi$  is not 2-prolific because it has only  $5 < \binom{5}{2}$  grandchildren in  $\mathcal{P}$ : 123, 132, 213, 231, and 312.

**Example.** 274915836 (breadth 4) is 2-prolific.

## Working toward the lemma

**Prop.** Deleting a single entry from a permutation decreases breath by at most 1.

**Proof:** If  $\text{br}(\sigma) < \text{br}(\pi)$ , then  $\text{br}(\pi) = |i - j| + |\pi(i) - \pi(j)|$ , and  $\sigma$  was obtained by deleting a point with  $x$ -coordinate between  $i$  and  $j$ , or  $y$ -coordinate between  $\pi(i)$  and  $\pi(j)$ .

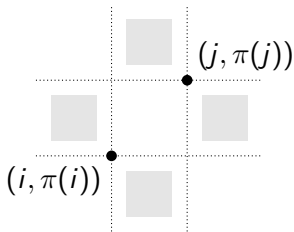


The deleted point could not have satisfied both of those requirements, or  $\text{br}(\pi)$  would be smaller than had been claimed.

## Proving the lemma, part 1

**Lem.** If  $\pi$  is  $k$ -prolific, then  $\text{br}(\pi) \geq k + 2$ .

**Proof:** If  $|i - j| + |\pi(i) - \pi(j)| \leq k + 1$ , then we have:



where the number of points in the shaded regions is at most  $k - 1$ .

Deleting  $\{\text{shaded points}\} \cup \{(i, \pi(i))\}$  results in the same perm as deleting  $\{\text{shaded points}\} \cup \{(i, \pi(i))\}$ , so  $\pi$  is not  $k$ -prolific.

## Proving the lemma, part 2

**Lem.** If  $\text{br}(\pi) \geq k + 2$ , then  $\pi$  is  $k$ -prolific.

This is notably more complicated.

Induct on  $k$ , and use **chain graphs** to describe how two different occurrences of the same pattern might be contained in  $\pi$ .

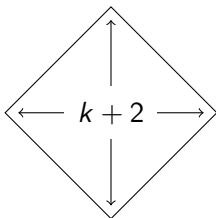
Chain graphs have a lot of structure: if there are two ways to get the same pattern in  $S_{n-k}$ , then two points in the plot must have taxicab distance  $< k + 2$ , meaning  $\text{br}(\pi) < k + 2$ .

Next up: What does breadth mean for constructing  $k$ -prolific permutations?

# Permuted packings

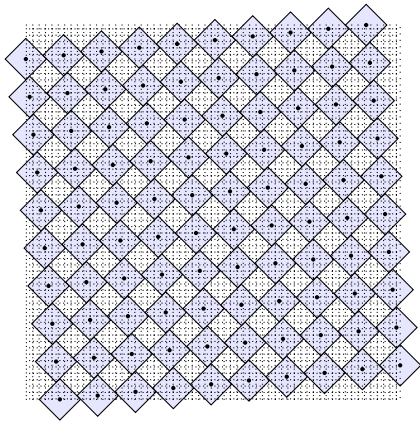
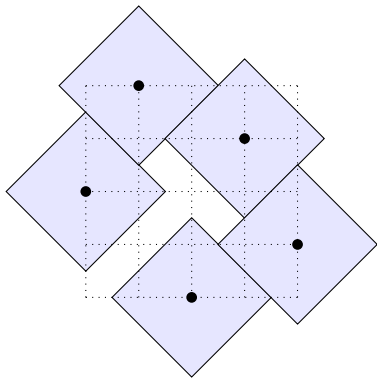
A packing  $\Pi$  of  $n$  translates of a tile  $T$  is a **permuted packing** if  $\Pi = \{T + (i, \pi(i))\}$  for some  $\pi \in S_n$ .

Let  $D_k$  be a diamond whose diagonal has length  $k + 2$ .



$k$ -prolific perms are in **bijection** with permuted packings of  $D_k$ .

# Examples



## Construction bounds

Let  $\text{minprol}(k)$  be the minimum value of  $n$  for which there exists a  $k$ -prolific permutation in  $S_n$ .

We get size requirements for  $k$ -prolific perms from area restrictions.

This gives us a lower bound:  $\lceil k^2/2 + 2k + 1 \rceil \leq \text{minprol}(k)$

We get the upper bound by explicit construction.

**Thm.**  $\text{minprol}(k) = \lceil k^2/2 + 2k + 1 \rceil$

In fact, we can “grow” the constructed  $k$ -prolific permutations, so –

**Thm.** There exist  $k$ -prolific perms in  $S_n$  for all  $n \geq \lceil \frac{k^2}{2} + 2k + 1 \rceil$ .



## Additional questions and progress

For a given  $k > 1$ , how does the number of  $k$ -prolific permutations of size  $n$  grow with  $n$ ?

**Blackburn-Homberger-Winkler:** For large  $n$ , a random  $n$ -permutation is  $k$ -prolific with probability  $e^{-k^2-k}$ .

How many distinct  $k$ -prolific permutations exist of minimal size?

**Conjecture:** For odd  $k$ , the minimal permutation we construct, and its symmetries, are the only ones.

Variations on prolificity?