Permutations sortable by deques and by two stacks in parallel.

Andrew Elvey Price Joint work with Tony Guttmann

The University of Melbourne

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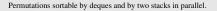
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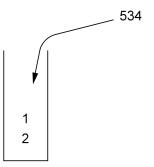
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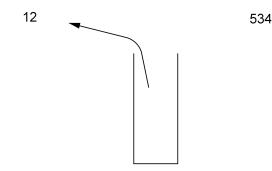
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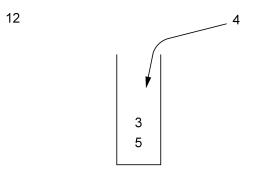
Stack sorting is a method of sorting a permutation where we are only allowed to use two operations: Put the next element from the input onto the stack, and output the top element of the stack.

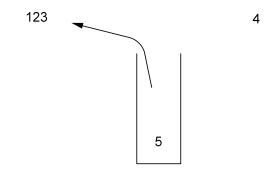
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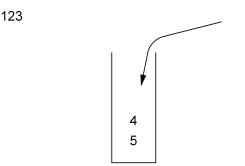


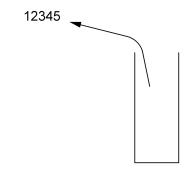












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He also proved that the set of stack-sortable permutations is Av(312).

OTHER SORTING MACHINES

Permutations sortable by deques and by two stacks in parallel.

• Two stacks in parallel

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- A double ended queue (deque)

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Until 2015 none of these two questions had been solved.

TWO STACKS IN PARALLEL

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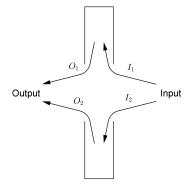
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We call these operations I_1, I_2, O_1, O_2 as shown:



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I will give a summary of their solution.

Solution for two stacks in parallel

• Call a sequence of the moves which corresponds to sorting a permutation an "operation sequence".

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- By associating the operations I_1, I_2, O_1, O_2 with the steps N, E, S, W, each operation sequence corresponds to a unique quarter plane loop.

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- Unfortunately, many different operation sequences correspond to the same permutation.
- Call two operation sequences equivalent if they sort the same permutation.
- We want to count the number of equivalence classes of operation sequences.

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This reduces the problem to counting a certain class of quarter plane loops: Those with no NW or ES corners, in which every sub-(quarter plane loop) begins with N.

Solution for two stacks in parallel

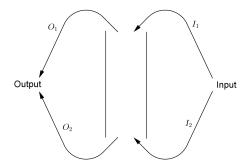
They related P(t), the counting function of tsip-sortable permutation, to the generating function Q(a, u) for weighted quarter plane loops, where *a* counts the number of *NW* or *ES* corners, and *u* counts the halflength.

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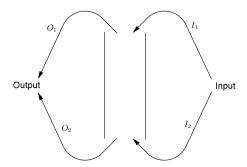
In particular, they showed that P(t) is characterised by the equation

$$Q\left(\frac{1}{P}-1,\frac{tP^2}{(2P-1)^2}\right) = 2P-1$$

With a deque, There are also four moves I_1, I_2, O_1, O_2 , which correspond to input to the top and bottom of the deque and output from the top and bottom of the deque.



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Notice that if we put a wall in the middle of the deque, this becomes equivalent to two stacks in parallel.

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Guttmann and I were able to adapt the solution for two stacks in parallel to solve the enumeration problem for deque-sortable permutations:

- We associate the operations I_1, I_2, O_1, O_2 with the steps N, E, S, W, respectively.
- The corresponding path of an operation sequence is now restricted to the diagonal half plane $\{(x, y)|x + y \ge 0\}$, and must end on the line x + y = 0.

We defined the canonical operation sequence of a deque-sortable permutation to be the first in the lexicographic order with $O_1 < O_2 < I_1 < I_2$.

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- Any subword of the operation sequence which is an operation sequence for two stacks in parallel begins with I_1 .
- When the deque contains at most 1 element only the moves *I*₁ and *O*₁ are allowed, not *I*₂ or *O*₂.

• w starts at (0,0) and ends on the line x + y = 0.

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- Any step from a point (x, y) with $x + y \le 1$ must be N or S.

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- Then we cancelled some functions from the equations...
- Finally, we deduced the remarkable result that the generating functions *D* for deques and *P* for two stacks in Parallel are related by the equation

$$D(t) = \frac{t}{2} + 1 + tP - t^2P - \frac{t}{2}\sqrt{1 - 4P + 4P^2 - 8tP^2 + 4t^2P^2 - 4tP}$$

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• First, let *W*(*a*, *u*, *x*, *y*) be the generating function for weighted quarter plane *walks*, given by

$$\sum w_{m,n,\alpha,\beta}a^m u^n x^\alpha y^\beta,$$

where $w_{m,n,\alpha,\beta}$ is the number of quarter plane walks from (0,0) to (α,β) of length *n* which contain *m* weighted corners.

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• Then W is characterised by the equation

$$W(x, y) = u(x + y)W(x, y) + \frac{u}{y}(1 - ux + uax)(W(x, y) - W(x, 0)) + \frac{u}{x}(1 - uy + uay)(W(x, y) - W(0, y)).$$

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• Finally
$$Q(a, u) = W(a, \sqrt{u}, 0, 0)$$
.

Using the equation

$$Q\left(\frac{1}{P}-1,\frac{tP^2}{(2P-1)^2}\right) = 2P-1,$$

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Our analysis of the coefficients p_n of P suggests that they behave like

$$p_n \sim const \cdot \mu^n n^\gamma$$
,

where $\mu \approx 8.281402207$ and $\gamma \approx -2.473$.

Analysis of D(t)

$$D(t) = \frac{t}{2} + 1 + tP - t^2P - \frac{t}{2}\sqrt{1 - 4P + 4P^2 - 8tP^2 + 4t^2P^2 - 4tP}$$

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Our analysis of these terms suggests that the coefficients behave like

$$d_n \sim const \cdot \mu^n n^{-3/2},$$

where $\mu \approx 8.281402207$ is the same as the growth constant for the coefficients of P(t). We still can't prove that the two squences $\{p_n\}$ and $\{d_n\}$ share the same exponential growth rate μ .

MORE ANALYSIS

$$\sqrt{2P(t_c)} = 1 + \sqrt{2t_c P(t_c)},$$

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it follows pretty easily that the radius of convergence of D(t) is also t_c ...But we still need to prove the conjectures about Q(a, u)!!!!!

Conjecture

the radius of convergence $\overline{\rho_Q(a)}$ of $Q(a, \cdot)$ is given by

$$\rho_{\mathcal{Q}}(a) = \begin{cases} \frac{1}{(2+\sqrt{2+2a})^2}, & \text{if } a \ge -1/2, \\ \frac{-a}{2(a-1)^2}, & \text{if } a \in [-1, -1/2] \end{cases}$$

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For $a \ge -1/2$, this is the same as the radius of convergence for weighted plane paths (not just **quarter**-plane **loops**). The really important bit of the conjecture is at $a \approx -0.148$.

Quarter plane loops conjectures $2 \mbox{ and } 3$

QUARTER PLANE LOOPS CONJECTURES 2 AND 3

Conjecture

The series Q(a, u) is (a + 1)-positive. That is, Q takes the form

$$Q(a,u) = \sum_{n\geq 0} u^n P_n(a+1),$$

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Conjecture

The series $Q_u(a, u) = \frac{\partial Q}{\partial u}$ is convergent at $u = \rho_Q(a)$ for $a \ge -1/3$.

OPEN PROBLEMS

• Prove that Permutations sortable by a deque and permutations sortable by two stacks in parallel have the same growth constant!

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- Just prove any of the three conjectures about weighted quarter plane loops.
- Find a more direct proof of the identity

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OPEN PROBLEMS

• Three stacks in parallel

- Three stacks in parallel
- Four stacks in parallel

- Three stacks in parallel
- Four stacks in parallel
- etc

- Three stacks in parallel
- Four stacks in parallel
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- Two stacks in series

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- Two stacks in series
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- Two stacks in series
- Two input-restricted deques in parallel
- One input-restricted deque and one stack in parallel

- Three stacks in parallel
- Four stacks in parallel
- etc
- Two stacks in series
- Two input-restricted deques in parallel
- One input-restricted deque and one stack in parallel
- Any finite system of machines in parallel/series which involves any of the above



Thank You!

Permutations sortable by deques and by two stacks in parallel.

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